

# CCDPD Upgrade Application

Certified Co-Occurring Disorders  
Professional – Diplomate Upgrade  
for CCDP



## DIRECTIONS/CHECKLIST

**This application is modified for current CCDP's applying for an upgrade to CCDP Diplomate. Because of existing records and previous applications, many requirements have already been met and are on file with PCB.**

- Official Master's degree transcript required sent directly from college/university to the PCB Office.
- Sign and date the Code of Ethical Conduct.
- Release form notarized.
  
- Fee of \$100. May be paid by check/ money order (payable to PCB) or with VISA or MasterCard.

Keep a photocopy of the entire application. Send your completed application, copies of certificates of attendance, attachments, and fee to:

PCB  
298 S. Progress Avenue  
Harrisburg, PA 17109  
Phone: (717) 540-4455 Fax: (717) 540-4458  
Website: [www.pacertboard.org](http://www.pacertboard.org) Email: [info@pacertboard.org](mailto:info@pacertboard.org)

## REQUIREMENTS FOR CCDPD

### Fees

Certification: \$100  
*(fee must accompany application and materials)*

### CERTIFICATION TIME PERIOD

Your CCDP expiration date **will not** change upon completion of this CCDP Diplomate upgrade. Your CCDP will be changed to CCDP Diplomate and a new certificate will be issued to you. You will recertify as a CCDP Diplomate on your current expiration date. PCB certification encompasses two calendar years commencing on the date of successful completion of the examination. Two dates, date of issue and valid through, will appear on the certificate along with a certification number.

### APPEAL PROCESS

The purpose of appeal is to determine if PCB accurately, adequately and fairly reviewed applicant's file. A letter requesting an appeal must be made to PCB in writing within 30 days of the notification of the board's action. A person shall be considered notified three days after the relevant date of mailing. The written appeal will be sent to the Executive Committee who in turn will thoroughly review the entire application and materials to determine whether or not applicant should have been denied approval. Applicant will be notified in writing as to the findings of the Executive Committee.

### RECERTIFICATION

To maintain the high standards of this professional practice and to assure continuing awareness of new knowledge in the field, PCB requires recertification every two years.

To be recertified as a CCDPD, an individual must:

1. Hold a current and valid certificate issued by PCB;
2. Acquire 40 hours of PCB approved co-occurring specific education including three hours in professional ethics and responsibilities received within the two year recertification cycle. Professionals recertifying their credential(s) may be subject to an audit. Review the recertification application for more details;
3. Verify that you have reviewed, read and will uphold by practice the PCB Code of Ethical Conduct for professional behavior;
4. Complete an application and pay the recertification fee.

### LAPSED CERTIFICATION

The completed recertification application should be received at PCB prior to the expiration date. If the application is incomplete, applicant will be notified by phone or email depending on what has been indicated by applicant.

There is no grace period. If the recertification is not completed by the expiration date, the individual will no longer hold a CCDPD and no further use of the CCDPD is permitted until the individual has recertified.

All certified professionals should review the recertification application well in advance of the expiration date. A Reinstatement Fee is due if the recertification is late between one day and 12 months. After 12 months, no recertification is possible and applicant would have to reapply for the credential, meeting all current requirements.

## **INTERNATIONAL CERTIFICATION & RECIPROCITY CONSORTIUM (IC&RC)**

The purpose of the IC&RC is:

- to promote uniform professional standards and quality assurance for the alcohol and drug profession and to give the profession greater visibility throughout the United States and other countries;
- to negotiate reciprocity agreements for alcohol and drug professionals with certification bodies throughout the United States and other countries;
- to provide support services, including consultation and training to all states in all areas of certification, such as establishment of standards, evaluation of competence, establishment and training of boards and committees;
- to provide information on certification and certification activities throughout the United States and other countries;
- to provide an International Certificate (ICCDPD) for counselors meeting specified qualifications certified by individual IC&RC member certification boards. Any IC&RC certified co-occurring disorders counselor is eligible. ICCDPD certificates are provided free of charge from IC&RC;
- to promote uniform professional standards in CCDP specialty disciplines.

Certified professionals in the state of Pennsylvania have reciprocity with many certifying bodies throughout the United States and other countries as well as all of the armed services. For reciprocity process and/or a listing of member boards, please call the PCB Office.

# APPLICATION FOR CCDPD UPGRADE

Form can be completed and saved. You may then print the appropriate pages to submit to PCB.

Other past or current PCB credentials held:  AAC II  CAAC  CADC  CAADC  CCS  APS II  CPS  CCJP  CCSM  
 CCMS  CAAP  CCDP  CCDPD  CRS  Gambling

Date: \_\_\_\_\_ Date of Birth: \_\_\_\_\_  Male  Female

Name: \_\_\_\_\_ SSN: \_\_\_\_\_

Home Address: \_\_\_\_\_

City: \_\_\_\_\_ State: \_\_\_\_\_ Zip: \_\_\_\_\_

County: \_\_\_\_\_ Home Phone: \_\_\_\_\_ Email: \_\_\_\_\_  
*(required)*

Position/Title: \_\_\_\_\_ Employer: \_\_\_\_\_

Employer Address: \_\_\_\_\_

City: \_\_\_\_\_ State: \_\_\_\_\_ Zip: \_\_\_\_\_

County: \_\_\_\_\_ Work Phone: \_\_\_\_\_ Ext: \_\_\_\_\_

Dates Employed – Integrated Services : \_\_\_\_\_ Hours per Week: \_\_\_\_\_

Immediate Supervisor: \_\_\_\_\_ Title: \_\_\_\_\_

Phone: \_\_\_\_\_ Email: \_\_\_\_\_

College/University: \_\_\_\_\_ Name on Transcript: \_\_\_\_\_

If PCB needs to contact you, please indicate your preference:  Email  Phone

Why are you pursuing certification? *(required)* \_\_\_\_\_

Have you ever received any disciplinary action from another certification or licensing authority?  Yes  No  
*If yes, please explain in full on a separate sheet.*

Fee of \$100 can be paid using one of the following:

Check/MO (payable to PCB)

Credit Card (Visa or MasterCard) \_\_\_\_\_ - \_\_\_\_\_ - \_\_\_\_\_ - \_\_\_\_\_

3-digit code: \_\_\_\_\_ Exp. Date: \_\_\_\_\_ Name on Card: \_\_\_\_\_

**Please print your name as it should appear on your certificate:**

\_\_\_\_\_

# CCDP/CCDPD CODE OF ETHICAL CONDUCT

## UNLAWFUL CONDUCT

- Rule 1.1** Once certified, a co-occurring disorders professional shall not be cited, arrested, or convicted for any summary offense, misdemeanor, or felony relating to the individual's ability to provide substance abuse and other behavioral health services or that reflects conduct unbecoming a certified professional as determined by PCB.
- Rule 1.2** A co-occurring disorders professional shall not be convicted of any crime that involves the use of any controlled or psychoactive substance.

## SEXUAL MISCONDUCT

- Rule 2.1** A co-occurring disorders professional shall, under no circumstances, engage in sexual activities or sexual contact with persons served, whether such contact is consensual or forced.
- Rule 2.2** A co-occurring disorders professional shall not engage in sexual activities or sexual contact with persons' relatives or other individuals with whom persons served maintain a close personal relationship when there is a risk of exploitation or potential harm to the person.
- Rule 2.3** A co-occurring disorders professional shall not engage in sexual activities or sexual contact with former persons served because of the potential harm to the person.
- Rule 2.4** A co-occurring disorders professional shall not provide clinical services to individuals with whom they have had a prior sexual relationship.

## FRAUD-RELATED CONDUCT

- Rule 3.1** A co-occurring disorders professional shall not:
1. present or cause to be presented a false or fraudulent claim, or any proof in support of such claim, to be paid under any contract or certificate of insurance;
  2. prepare, make, or subscribe to a false or fraudulent account, certificate, affidavit, proof of loss, or other document or writing, with knowledge that the same may be presented or used in support of a claim for payment under a policy of insurance; or
  3. present or cause to be presented a false or fraudulent claim or benefit application, or any false or fraudulent proof in support of such a claim or benefit application, or false or fraudulent information, which would affect a future claim or benefit application, or be paid under any employee benefit program;
  4. seek to have an employee commit fraud or assist in an act of commission or omission to aid fraud related behavior.
- Rule 3.2** An individual shall not use misrepresentation in the procurement of certification or recertification, or assist another in the preparation or procurement of certification or recertification through misrepresentation. The term "misrepresentation" includes but is not limited to the misrepresentation of professional qualifications, education, certification, accreditation, affiliations, employment experience, the plagiarism of application and recertification materials, or the falsification of references.

- Rule 3.3** An individual shall not use a title designation, credential or license, firm name, letterhead, publication, term, title, or document which states or implies an ability, relationship, or qualification that does not exist and to which they are not entitled.
- Rule 3.4** A co-occurring disorders professional shall not provide service under a false name or a name other than the name under which his or her certification or license is held.
- Rule 3.5** A co-occurring disorders professional shall not sign or issue, in their professional capacity, a document or a statement that the professional knows or should have known to contain a false or misleading statement.
- Rule 3.6** A co-occurring disorders professional shall not produce, publish, create, or partake in the creation of any false, fraudulent, deceptive, or misleading advertisement.
- Rule 3.7** A co-occurring disorders professional who participates in the writing, editing, or publication of professional papers, videos/films, pamphlets or books must act to preserve the integrity of the profession by acknowledging and documenting any materials and/or techniques or people (i.e. co-authors, researchers, etc.) used in creating their opinions/papers, books, etc. Additionally, any work that is photocopied prior to receipt of approval by the author is discouraged. Whenever and wherever possible, the co-occurring disorders professional should seek permission from the author/creator of such materials. The use of copyrighted materials without first receiving author approval is against the law and, therefore, in violation of the Code of Ethical Conduct.

#### **EXPLOITATION OF CLIENTS**

- Rule 4.1** A co-occurring disorders professional shall not develop, implement, or maintain exploitative relationships with persons served and/or family members of persons served.
- Rule 4.2** A co-occurring disorders professional shall not misappropriate property from persons served and/or family members of persons served.
- Rule 4.3** A co-occurring disorders professional shall not enter into a relationship with a person which involves financial gain to the co-occurring disorders professional or a third party resulting from the promotion or the sale of services unrelated to the provision of services or of goods, property, or any psychoactive substance.
- Rule 4.4** A co-occurring disorders professional shall not promote to a person for their personal gain any treatment, procedure, product, or service.
- Rule 4.5** A co-occurring disorders professional shall not ask for nor accept gifts or favors from persons served and/or family members of persons.
- Rule 4.6** A co-occurring disorders professional shall not offer, give, or receive commissions, rebates, or any other forms of remuneration for a person referral.
- Rule 4.7** A co-occurring disorders professional shall not accept fees or gratuities for professional work from a person who is entitled to such services through an institution and/or agency by which the co-occurring disorders professional is employed.

## PROFESSIONAL STANDARDS

- Rule 5.1** A co-occurring disorders professional shall not in any way participate in discrimination on the basis of race, color, sex, sexual orientation, age, religion, national origin, socio-economic status, political belief, psychiatric or psychological impairment, or physical disability.
- Rule 5.2** A co-occurring disorders professional who fails to seek therapy for any psychoactive substance abuse or dependence, psychiatric or psychological impairment, emotional distress, or for any other physical health related adversity that interferes with their professional functioning shall be in violation of this rule. Where any such conditions exist and impede their ability to function competently, a co-occurring disorders professional must request inactive status of their PCB credential for medical reasons for as long as necessary.
- Rule 5.3** A co-occurring disorders professional shall meet and comply with all terms, conditions, or limitations of a certification or license.
- Rule 5.4** A co-occurring disorders professional shall not engage in conduct that does not meet the generally accepted standards of practice.
- Rule 5.5** A co-occurring disorders professional shall not perform services outside of their area of training, expertise, competence, or scope of practice.
- Rule 5.6** A co-occurring disorders professional shall not reveal confidential information obtained as the result of a professional relationship, without the prior written consent from the recipient of services, except as authorized or required by law.
- Rule 5.7** The co-occurring disorders professional shall not permit publication of photographs, disclosure of person or community served names or records, or the nature of services being provided without securing all requisite releases from the person, or parents or legal guardians of the persons.
- Rule 5.8** The co-occurring disorders professional shall not discontinue professional services to a person nor shall they abandon the person without facilitating an appropriate closure of professional services for the person.
- Rule 5.9** A co-occurring disorders professional shall not fail to obtain an appropriate consultation or make an appropriate referral when the person's problem is beyond their area of training, expertise, competence, or scope of service.
- Rule 6.0** A co-occurring disorders professional shall maintain respect for institution policies and management functions of the agencies and institutions within which the services are being performed but will take initiative toward improving such policies when it will better serve the interest of the person. The CCDP/CCDPD should adhere to any agency or institutional rules/regulations unless doing so violates a person's confidentiality.

## SAFETY & WELFARE

- Rule 6.1** A co-occurring disorders professional shall not administer to himself or herself any psychoactive substance to the extent or in such manner as to be dangerous or injurious to a recipient of services, to any other person, or to the extent that such use of any psychoactive substance impairs the ability of the professional to safely and competently provide services.
- Rule 6.2** All co-occurring disorders professional are mandated child abuse reporters.

## RECORD KEEPING

**Rule 7.1** A co-occurring disorders professional shall not falsify, amend, or knowingly make incorrect entries or fail to make timely essential entries into the person record.

### ASSISTING UNQUALIFIED/UNLICENSED PRACTICE

**Rule 8.1** A co-occurring disorders professional shall not refer a person served to a person that he/she knows or should have known is not qualified by training, experience, certification, or license to perform the delegated professional responsibility.

## DISCIPLINE IN OTHER JURISDICTIONS

**Rule 9.1** A co-occurring disorders professional holding a certification, license, or other authorization to practice issued by any certification authority or any state, province, territory, tribe, or federal government whose certification or license has been suspended, revoked, placed on probation, or other restriction or discipline shall promptly alert the Board of such disciplinary action.

## COOPERATION WITH THE BOARD

**Rule 10.1** A co-occurring disorders professional shall cooperate in any investigation conducted pursuant to this Code of Ethical Conduct and shall not interfere with an investigation or a disciplinary proceeding or attempt to prevent a disciplinary proceeding or other legal action from being filed, prosecuted, or completed. Interference attempts may include but are not limited to:

1. the willful misrepresentation of facts before the disciplining authority or its authorized representative;
2. the use of threats or harassment against, or an inducement to, any client or witness in an effort to prevent them from providing evidence in a disciplinary proceeding or any other legal action;
3. the use of threats or harassment against, or an inducement to, any person in an effort to prevent or attempt to prevent a disciplinary proceeding or other legal action from being filed, prosecuted or completed;
4. refusing to accept and/or respond to a letter of complaint, allowing a credential to lapse while an ethics complaint is pending, or attempting to resign a credential while an ethics complaint is pending. Violation of this rule under these circumstances will result in the immediate and indefinite suspension of the CCDP/CCDPD's credential until the ethical complaint is resolved.

**Rule 10.2** A co-occurring disorders professional shall:

1. not make a false statement to the PCB or any other disciplinary authority;
2. promptly alert colleagues informally to potentially unethical behavior so said colleague could take corrective action;
3. report violations of professional conduct of other certified professionals to the appropriate licensing/disciplinary authority when he/she knows or should have known that another certified professional has violated ethical standards and has failed to take corrective action after informal intervention.

**Rule 10.3** A co-occurring disorders professional shall report any uncorrected violation of the Code of Ethical Conduct within 90 days of alleged violation. Failure to report a violation may be grounds for discipline.

**Rule 10.4** A co-occurring disorders professional with firsthand knowledge of the actions of a respondent or a complainant shall cooperate with the PCB investigation or disciplinary proceeding. Failure or an

unwillingness to cooperate in the PCB investigation or disciplinary proceeding shall be grounds for disciplinary action.

**Rule 10.5** A co-occurring disorders professional shall not file a complaint or provide information to the PCB, which he/she knows or should have known, is false or misleading.

**Rule 10.6** In submitting information to PCB, a co-occurring disorders professional shall comply with any requirements pertaining to the disclosure of person information established by the federal or state government.

Signature: \_\_\_\_\_

Date: \_\_\_\_\_

# RELEASE

*(must be notarized below)*

I hereby request that PCB grant the credential to me based on the following assurances and documentation:

I subscribe to and commit myself to professional conduct in keeping with the PCB Code of Ethical Conduct;

I hereby certify that the information given herein is true and complete to the best of my knowledge and belief. I also authorize any necessary investigation and the release of manuscripts and other personal information relative to my certification. Falsification of any records or documents in my application will nullify this application and will result in denial or revocation of certification;

I consent to the release of information contained in my application and any other pertinent data submitted to or collected by PCB to officers, members, and staff of the aforementioned Board;

I consent to authorize PCB to gather information from third parties regarding continuing education and employment and understand that such communication shall be treated as confidential;

Allegations of ethical misconduct reported to PCB before, during, or after application for certification is made will be investigated by PCB and could result in the nullification of the application or denial or revocation of certification.

Signature: \_\_\_\_\_ Date: \_\_\_\_\_

On this the \_\_\_\_\_ day of \_\_\_\_\_, 201\_\_\_\_, by me \_\_\_\_\_

a notary public, the undersigned officer, personally appeared: \_\_\_\_\_,

known to me or satisfactorily proven to be the person whose name is subscribed to the within instrument and

acknowledged that she/he executed the same for the purposes therein contained. In witness whereof, I hereby

set my hand and official seal. Sworn and subscribed before me this \_\_\_\_\_ day of \_\_\_\_\_,

201\_\_\_\_\_.

\_\_\_\_\_  
Notary Public **SEAL:**